

Finding the First Squarates Nonlinear Optical Crystal $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ with Strong Second Harmonic Generation and Giant Birefringence

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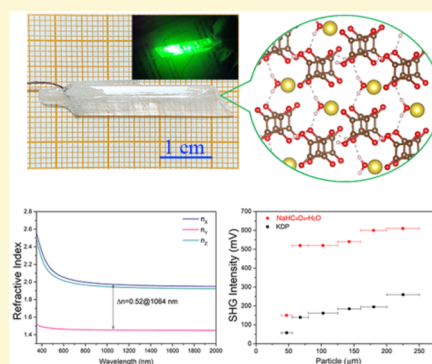


Article Recommendations



Supporting Information

ABSTRACT: With the development of laser technology, nonlinear optical (NLO) crystals with optimal performance are urgently required. Finding new NLO-active units is necessary to expand the exploration systems for NLO materials. Herein, a π -conjugated planar hydrogensquarate $(\text{HC}_4\text{O}_4)^-$ with large hyperpolarizabilities and giant polarizability anisotropies is identified as a new NLO-active unit. On the basis of this idea, a new NLO crystal $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ is found by using the first-principles high-throughput screening pipeline for nonlinear optical materials (FHSP-NLO). It is the first NLO crystal found in squarates. Subsequently, the crystal with a size of $30 \times 6 \times 5$ mm was grown by a facile aqueous solution method. $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ shows a strong powder SHG effect of $2.3 \times$ KDP and a giant birefringence of 0.52 at 1064 nm. Electronic structure calculation and analysis reveal that the π orbitals of the $(\text{HC}_4\text{O}_4)^-$ groups are the dominant source of the SHG coefficient. This study provides novel NLO-active units and a materials system to design and find NLO crystals.



Nonlinear optical (NLO) crystals are the key materials to convert the frequency of coherent lasers that are widely used in a variety of applications including spectroscopy, communication, generation of entangled photon pairs, environmental monitoring spectroscopy, and detection of explosives.^{1–8} The searching of NLO crystals was mainly concentrated in systems containing NLO-active units such as π -conjugated planar units $(\text{BO}_3)^{3-}$, $(\text{CO}_3)^{2-}$, $(\text{NO}_3)^-$, and cations susceptible to second-order Jahn–Teller effect (SOJTE) distortions, including d^0 transition metals cations and stereoactive lone-pairs cations.^{9–12} Over the past 60 years, many NLO materials have been found in corresponding materials' systems including LiNbO_3 (LN),¹³ KIO_3 ,¹⁴ β - BaB_2O_4 (BBO),¹⁵ LiB_3O_5 (LBO),¹⁶ and $\text{KBe}_2\text{BO}_3\text{F}_2$ (KBBF).¹⁷ With the development of laser technology, NLO crystals with optimal performance are urgently required. However, it is more and more difficult to find a new NLO crystal that is better than existing materials in traditional systems.

To break through the stagnant situation, finding new NLO-active units is necessary to expand the exploration systems for NLO materials. Recently, some new NLO-active units are proposed and promote a new wave of research for NLO crystals. For example, $[\text{BO}_x\text{F}_{4-x}]$ ($x = 1, 2, 3$) units could optimize the mutually restricted properties and have created

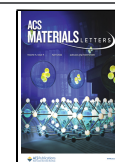
a new field for finding deep-ultraviolet (DUV) NLO crystals. Subsequently, some structural groups including $(\text{PO}_3\text{F})^{2-}$, $(\text{PO}_2\text{F}_2)^-$, $(\text{C}_3\text{N}_3\text{O}_3)^{3-}$, $[\text{C}(\text{NH}_2)_3]^+$, $(\text{BS}_3)^{3-}$, $(\text{SiN}_x\text{O}_{4-x})^{(x+4)-}$ ($x = 1, 2, 3$) are proposed as new NLO-active units. Many new NLO materials with good properties have been found and identified such as $(\text{NH}_4)_2\text{PO}_3\text{F}$,^{18,19} $\text{Ca}_3(\text{C}_3\text{N}_3\text{O}_3)_2$,²⁰ $\text{KLiHC}_3\text{N}_3\text{O}_3 \cdot 2\text{H}_2\text{O}$,²¹ $\text{C}(\text{NH}_2)_3\text{SO}_3\text{F}$,²² $[\text{C}(\text{NH}_2)_3]_6(\text{PO}_4)_2 \cdot 3\text{H}_2\text{O}$,²³ BaB_2S_4 ,²⁴ and LiSiON .²⁵ These units could be classified into two categories: π -conjugated planar units and non- π -conjugated heteroleptic tetrahedral. Among them, π -conjugated planar units show large hyperpolarizabilities and polarizability anisotropies. They are essential to NLO and birefringent materials to induce a strong SHG response and large birefringence.

Carbon atoms with sp^2 hybridized orbitals bonded with oxygen atoms forming NLO-active planar $(\text{CO}_3)^{2-}$ units. In addition, C and O atoms could form diverse π -conjugated

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planar oxocarbon anions including $(C_2O_4)^{2-}$, $(C_2O_6)^{2-}$, $(C_4O_4)^{2-}$, and $(C_6O_6)^{2-}$. All of them shown large polarizability anisotropies and are proposed as novel birefringence-active units.²⁶ Unfortunately, these oxocarbon anions are centrosymmetric and have no microscopic second-order susceptibility. The “anionic group theory” suggests that the macroscopic SHG coefficients of crystals originate from a geometrical superposition of the microscopic second-order susceptibility. Accordingly, these oxocarbon anions with centrosymmetric structure are excluded from NLO-active units. Besides, most of the crystals that contain these anions are centrosymmetric. Only $(NH_4)_2C_2O_4 \cdot H_2O$, which is crystallized in a non-centrosymmetric structure, shows a large birefringence of 0.1587 at 546 nm²⁷ but a relatively small SHG coefficient.²⁸

In this work, we find a new NLO-active π -conjugated planar anionic group $(HC_4O_4)^-$. Hydrogenating the $(C_4O_4)^{2-}$ anionic group could break its centrosymmetry, induce large hyperpolarizabilities, and retain giant polarizability anisotropies. To investigate the NLO-related properties of $(C_4O_4)^{2-}$ and $(HC_4O_4)^-$ anionic groups as well as the $H_2C_4O_4$ molecule (represented by $(H_xC_4O_4)^{(2-x)-}$, $x = 0, 1, 2$), their electronic structure, HOMO–LUMO gap, polarizability, and hyperpolarizability are calculated using density functional theory (DFT) method implemented by the Gaussian09²⁹ package at the B3LYP/6-31G level. For comparison, the same properties of $(CO_3)^{2-}$ are also calculated. As listed in Table S1 and shown in Figure 1, $(C_4O_4)^{2-}$, $(HC_4O_4)^-$, and $H_2C_4O_4$ show an

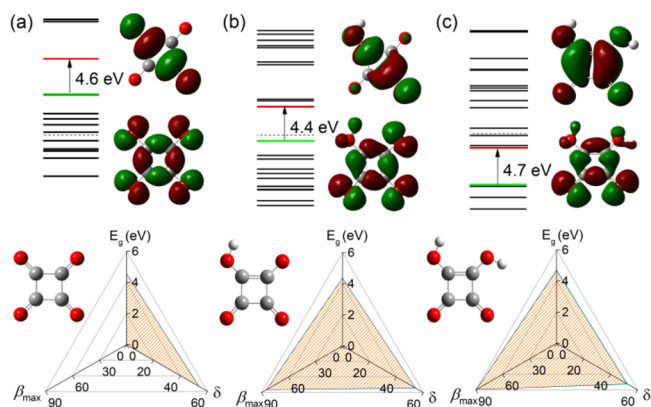


Figure 1. Electronic energy-level, HOMO and LUMO orbitals, radar chart of polarizability anisotropy (δ), maximum hyperpolarizability tensor (β_{\max}), HOMO–LUMO gap (E_g) of (a) $(C_4O_4)^{2-}$, (b) $(HC_4O_4)^-$, and (c) $H_2C_4O_4$.

energy gap between 4.4 and 4.7 eV. The $(C_4O_4)^{2-}$, $(HC_4O_4)^-$, and $H_2C_4O_4$ have a large polarizability anisotropy (δ) of 53.6, 57.7, and 53.7, respectively, which are much larger than the value of $(CO_3)^{2-}$ that is 9.1. Therefore, these fundamental building units (FBUs) can induce large birefringence in crystals and are good functional modules for birefringent materials. The hyperpolarizability of the $(C_4O_4)^{2-}$ is zero owing to its centrosymmetric structure. As to $(HC_4O_4)^-$ and $H_2C_4O_4$, the existence of hydroxy group break the centrosymmetry of $(C_4O_4)^{2-}$ and results in large hyperpolarizability (β). The maximum absolute values of β tensor of $(HC_4O_4)^-$ and $H_2C_4O_4$ are 84.3 and -89.1 , respectively, which are much larger than that of $(CO_3)^{2-}$ of 18.7. The above results reveal that the $(HC_4O_4)^-$ anionic group and the $H_2C_4O_4$ molecule are attractive FBUs to construct NLO materials.

Subsequently, $NaHC_4O_4 \cdot H_2O$ ³⁰ is screened out by using the first-principles high-throughput screening pipeline for non-linear optical materials (FPHS-NLO)³¹ from the inorganic crystal structure database (ICSD). $NaHC_4O_4 \cdot H_2O$ crystallizes in the noncentrosymmetric space group Pc . As shown in Figure 2, $(HC_4O_4)^-$ anions and H_2O molecules are hydrogen-bonded

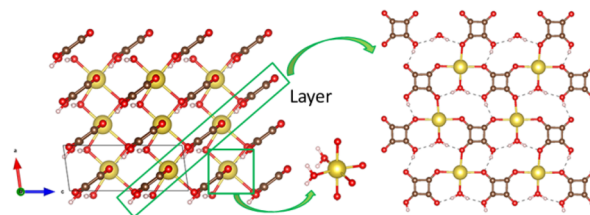


Figure 2. Crystal structure of $NaHC_4O_4 \cdot H_2O$. The yellow, red, brown, and light pink balls represent Na, O, C, and H atoms, respectively.

to each other and form $[(HC_4O_4)^- \cdot H_2O]$ layers parallel to the b axis. Each Na atom is coordinated by two water molecules and four O atoms from different $(HC_4O_4)^-$ anions forming octahedra. Adjacent Na octahedra share their edges to form one-dimensional chains along the a axis. The chains cross and connect the $[(HC_4O_4)^- \cdot H_2O]$ layers to construct a stable three-dimensional network.

The single crystal of $NaHC_4O_4 \cdot H_2O$ is prepared by a facile aqueous solution method (see experimental details in the Supporting Information). Finally, a rod-shaped crystal with a size of $30 \times 6 \times 5$ mm was obtained (Figure 3a). The crystal structure was determined by single-crystal X-ray diffraction (XRD) analysis. The experimental details and crystal data are given in the Supporting Information. The purity of the crystal was confirmed by powder XRD (Figure 3b). The thermogravimetric (TG) and differential scanning calorimetry (DSC) curves indicates that $NaHC_4O_4 \cdot H_2O$ is stable up to 418 K (Figure S1). The endothermic effect at 435 K on the DTA curve corresponds to a weight loss of 11.4% on the TG curve. This amount indicates the release of exactly one water molecule (11.7%) from the structure. The infrared (IR) spectra of $NaHC_4O_4 \cdot H_2O$ are presented in Figure S2. The IR absorption peaks of 3507 and 3387 cm^{-1} represent a characteristic vibration of OH in crystal water molecules. The absorption bands at 1990 to 730 cm^{-1} confirm the existence of $(HC_4O_4)^-$ groups.³²

The UV and IR transmittance spectrum are measured on an unpolished crystal with a thickness of about 1 mm. The result demonstrates that the UV cutoff edge of $NaHC_4O_4 \cdot H_2O$ is about 350 nm, corresponding to an energy band gap of 3.54 eV (Figure 3c). The IR transmittance spectrum indicates the $NaHC_4O_4 \cdot H_2O$ crystal is transparent up to 2.75 μm , covering the visible and near IR transparency band (Figure S3).

The SHG response of $NaHC_4O_4 \cdot H_2O$ was measured by the Kurtz–Perry method under 1064 nm laser irradiation with the benchmark NLO crystal KDP used for reference. As shown in Figure 3d, the $NaHC_4O_4 \cdot H_2O$ is type-I phase-matchable with a strong SHG efficiency of $2.3 \times$ KDP. It is much larger than $(NH_4)_2C_2O_4 \cdot H_2O$ ($d_{14}(1.06 \mu\text{m}) = 0.9 \times d_{36}(\text{KDP}) = 0.31 \text{ pm/V}$).²⁸ To understand the relationship between the structure and optical properties, first-principles calculations are performed using the plane-wave DFT method by employing the CASTEP package.³³ The calculation details are given in the Supporting Information. As shown in Figure

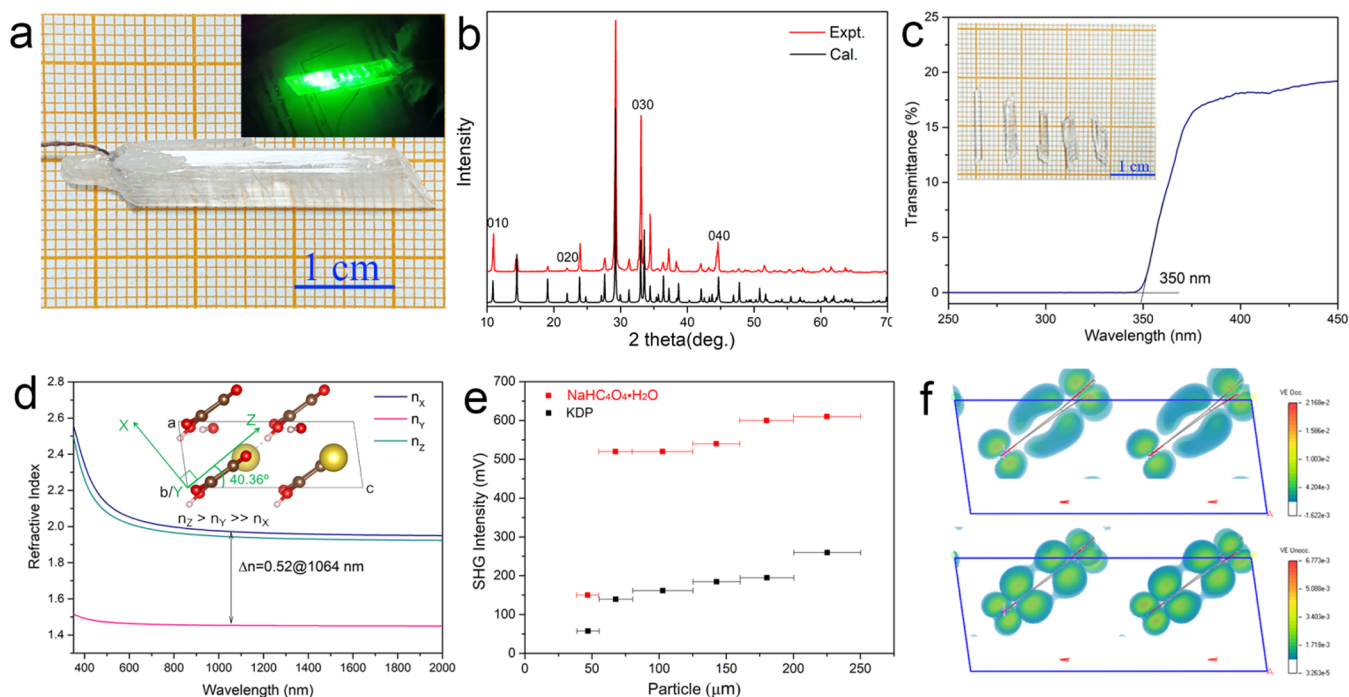


Figure 3. (a) Photograph of the $\text{NaHC}_4\text{O}_4\cdot\text{H}_2\text{O}$ crystal. (Inset: the crystal emits a bright green light under 1064 nm irradiation that indicates the generation of 532 nm light). (b) The experimental and calculated XRD patterns of $\text{NaHC}_4\text{O}_4\cdot\text{H}_2\text{O}$. The differences in peak intensity for the same crystallographic index between the experimental and calculated patterns are believed to be caused by the preferred orientation of the powder samples. It is in agreement with the needle-like shape of the title crystal. (c) UV transmission spectrum on single crystal of $\text{NaHC}_4\text{O}_4\cdot\text{H}_2\text{O}$. The inset presents the crystal plates. (d) Calculated refractive index and birefringence at 1064 nm. The inset shows the relationship between principle optical axes and the crystallographic axes. (e) Powder SHG measurements at 1064 nm. (f) SHG density map of the VE part of the largest SHG coefficient d_{33} of $\text{NaHC}_4\text{O}_4\cdot\text{H}_2\text{O}$.

S_4 , the calculated band structure indicates that $\text{NaHC}_4\text{O}_4\cdot\text{H}_2\text{O}$ has an indirect band gap of 2.68 eV. It is smaller than the experimental value of 3.54 eV because of the discontinuity of exchange–correlation energy functional.³⁴ The difference between them is corrected using a scissors operator when evaluating linear and nonlinear optical properties. $\text{NaHC}_4\text{O}_4\cdot\text{H}_2\text{O}$ belongs to the m point group and has six independent nonzero tensors. The calculated SHG coefficients are $d_{11} = -1.09$, $d_{12} = -0.50$, $d_{13} = -1.69$, $d_{15} = -1.36$, $d_{24} = -0.69$, and $d_{33} = -2.11$ pm/V. Considering the powder SHG intensity is a comprehensive reflection of all nonzero SHG tensors and related to point group and wavelength of incident laser. The calculated values are roughly agreement with the experimental one.

In order to identify the respective contribution of individual electronic states to SHG coefficients, the band-resolved method and SHG density method are adopted.³⁵ Figure 3f and Figure 4 display the SHG density map and the band-resolved virtual electron (VE) part of the largest SHG coefficient d_{33} . Combined with partial density of states (PDOS), one can find that the top of the valence bands and the bottom of the conduction bands give the vast majority of the contribution to d_{33} (Figure S5). In the valence bands, as shown in Figure 4, the third and fourth bands VB-3 and VB-4 make the dominant contribution. The orbital configurations of the two bands indicate they are constituted of delocalized π orbitals of four C atoms and anti- π orbitals of C=O (Figure S6). The highest two valence bands VB-1 and VB-2 that are constructed by C–C σ bonds and O nonbonding orbitals have no obvious contribution. This phenomenon is different from most NLO crystals in which the bands nearest the Fermi level

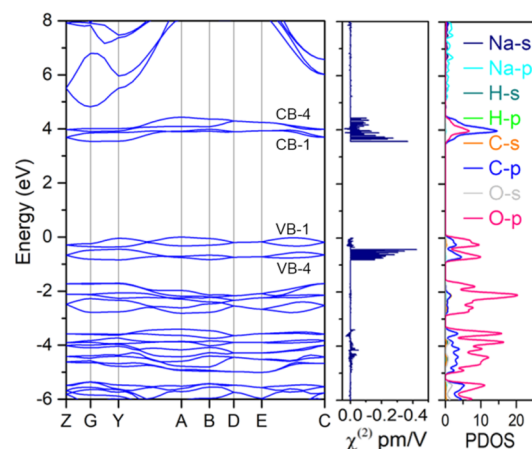


Figure 4. Band structure, partial density of states (PDOS), and band-resolved $\chi^{(2)}$ of $\text{NaHC}_4\text{O}_4\cdot\text{H}_2\text{O}$.

give the most contribution to SHG coefficients.^{36–41} In conduction bands, localized anti- π orbitals constructed by C and O atoms are the major contributor to the SHG coefficient. As shown in Figure 3f, these orbitals are highlighted in SHG density map. Overall, π orbitals of $(\text{HC}_4\text{O}_4)^-$ groups are the dominant source of SHG coefficient d_{33} . While Na and H_2O have no obvious contributions.

Birefringence is an important optical property. Birefringent materials can modulate the polarization of light and are critical for polarimetry, laser modulation, and optical communication. Besides, sufficient birefringence is the requirement for achieving phase-matching of NLO crystals. $\text{NaHC}_4\text{O}_4\cdot\text{H}_2\text{O}$ is

monoclinic and therefore an optically biaxial crystal. One of the principle optical axes is fixed to the crystallographic b -axis, and the other two principle optical axes are within the ac -plane. By diagonalizing static dielectric constant matrix obtained using the density-functional perturbation theory (DFPT) method, the relationships of the principle optical axes (X , Y , and Z) and crystallographic axes (a , b , and c) of $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ are determined (inset of Figure 3e). The refractive indices of $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ are calculated along optical axes. As shown in Figure 3e, $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ is a negative biaxial crystal with a relationship of refractive index of $n_z > n_y \gg n_x$. The birefringence is the difference between n_z and n_x . $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ shows a giant birefringence at the transmittance window. The calculated birefringence at 1064 nm is 0.52 that is larger than the most widely used birefringent materials including CaCO_3 (0.171 @ 633 nm),⁴² $\alpha\text{-BaB}_2\text{O}_4$ (0.1222 @ 532 nm),⁴³ $\text{Ca}(\text{BO}_2)_2$,⁴⁴ and YVO_4 (0.225 @ 633 nm).⁴⁵ It is also much larger than the $(\text{NH}_4)_2\text{C}_2\text{O}_4 \cdot \text{H}_2\text{O}$ crystal (0.1587 at 546 nm).²⁷ One can find that the direction of the largest two refractive index n_z and n_y of the crystal lie in the $[(\text{HC}_4\text{O}_4)^- \cdot \text{H}_2\text{O}]$ layers, while the smallest refractive index n_x is perpendicular to the layers. The result is coincident with the calculated polarizability of the $(\text{HC}_4\text{O}_4)^-$ anionic group. Therefore, the large polarizability anisotropy and coplanar arrangement of $(\text{HC}_4\text{O}_4)^-$ groups result in a giant birefringence of the $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ crystal.

In summary, a π -conjugated planar hydrogensquarate $(\text{HC}_4\text{O}_4)^-$ with large hyperpolarizabilities and giant polarizability anisotropies is identified as a new NLO-active unit. The first squarates NLO crystal $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ was found by using FHSP-NLO. The crystal with size of $30 \times 6 \times 5$ mm was grown by using aqueous solution method. $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ show a strong powder SHG effect of $2.3 \times \text{KDP}$ and giant birefringence of 0.52 at 1064 nm. The results indicate that $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ would be a promising NLO and birefringent crystal. DFT calculation and analysis reveal that the $(\text{HC}_4\text{O}_4)^-$ group are the dominant source of SHG coefficient. This study provides a new promising NLO crystal and a novel NLO-active unit to design and synthesize NLO crystals.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acsmaterialslett.2c00114>.

Crystal growth, crystal structure, thermogravimetric (TG), differential scanning calorimetry (DSC), infrared (IR) spectra, transmittance spectra, and DFT calculation results of $\text{NaHC}_4\text{O}_4 \cdot \text{H}_2\text{O}$ (PDF)

X-ray data (CIF)

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Notes

The authors declare no competing financial interest.

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